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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	9-15652-1US
First Inventor	BALTOIU, Len
Title	DRILLING FLUID
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **29**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets
5. Oath or Declaration [Total Pages **1**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper.
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

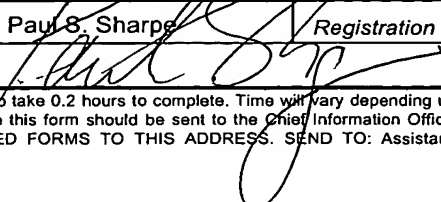
Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label**020988**  
(Insert Customer No. or Attach bar code label here)or ☐ Correspondence address below

Name	Ogilvy Renault				
	Suite 1600				
Address	1981 McGill College Avenue				
City	Montreal	State	Quebec	Zip Code	H3A 2Y3
Country	CANADA	Telephone	613-780-	Fax	613-230-6706

Name (Print/Type)	Paul S. Sharpe	Registration No. (Attorney/Agent)	39,493
Signature		Date	April 1, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



(please place mailroom date stamp here)

# OGILVY RENAULT

S. E. N. C.

BARRISTERS & SOLICITORS  
PATENT & TRADE-MARK AGENTS

45 O'Connor Street  
Suite 1600  
Ottawa, Ontario, Canada K1P 1A4  
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## Filing Cover Sheet for Document Filed with U.S. PTO Mailroom

Agent's file reference: 9-15652-2US

Ser. No. and filing date (if known): \_\_\_\_\_ / \_\_\_\_\_

### TYPE OF DOCUMENT SUBMITTED

- ☒ Patent application      ☐ Provisional ☒ Nonprovisional  
☐ Response to **NOTICE TO FILE MISSING PARTS**  
☒ IDS      ☐ Response to office action  
☐ Issue fee      ☐ Formal drawings  
☐ Other: \_\_\_\_\_

### CONTENTS OF SUBMISSION

- ☒ Filing cover page  
☒ Utility Patent Application Transmittal PTO/SB/05  
☒ Application having 29 pages and including 10 claims  
☒ Information disclosure statement with form PTO 1449  
☒ SIGNED inventor declaration with 3 signature(s)  
☒ Assignment(s) and assignment recordal sheet(s) (1) in number  
☒ Patent Application Fee Determination Record PTO/SB/06 and  
Fee Transmittal Form PTO/SB/17  
☒ Power of Attorney  
☒ Other item with 1 pages and described by:  
Return Receipt Postcard

Hand delivered to PTO mailroom by:

Time and date of delivery: \_\_\_\_\_

# SWABEY OGILVY RENAULT

April 1, 2004



## Mail Stop Patent Application

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.  
U.S.A.

Sir:

**Re:** New United States Patent Application  
**Title:** DRILLING FLUID  
**Inventors:** BALTOIU, Len et al.  
**Our File:** 9-15652-2US

Transmitted herewith for filing is the patent application of:

**INVENTOR:** Len BALTOIU et al.

**TITLE OF THE INVENTION:** DRILLING FLUID

The following documents are enclosed:

- a) Utility Patent Application Transmittal PTO/SB/05
- b) Fee Transmittal Form PTO/SB/17 (in duplicate) and Patent Application Fee Determination Record PTO/SB/06, covering:

Filing Fee	\$385.00
Recording of Assignment	\$ 40.00
<b>Total Number of Claims:</b>	<b>10</b>
Independent claims in excess of 3 (0 @ \$84/42)	\$ 0.00
Claims in excess of 20 (0 @ \$18/9)	\$ 0.00
Multiple dependent claim (0 @\$280/140)	\$ 0.00
<b>Total</b>	<b>\$425.00</b>
- c) Specification/Claims/Abstract
- d) Information Disclosure Statement with PTO Form 1449
- e) Declaration under 37 CFR 1.63, with

Barristers & Solicitors,  
Patent Agents & Trade-mark Agents

Suite 1600  
45 O'Connor Street  
Ottawa, Ontario K1P 1A4  
Canada

Telephone (613) 780-8661  
Fax (613) 230-5459

ogilvyrenault.com

Assistant Commissioner of Patents

- f) Power of Attorney
- g) Assignment in favor of Q'MAX SOLUTIONS INC.  
with Recordation Form PTO-1595
- h) Return receipt postcard.

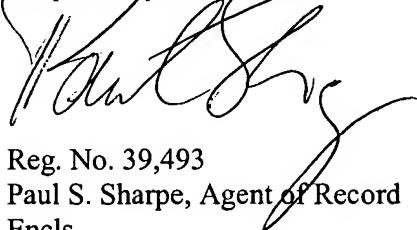
The applicant/inventor(s) qualify for **small** entity status.

**Benefit under 35 U.S.C. 119(e) is claimed of the United States provisional application No. 60/460,878, filed April 8, 2003.**

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to our Account No. 19-5113.

Please note that the address of the agents for the applicant in this matter should be the Montreal address identified in the enclosed Power of Attorney. Correspondence may be directed to Paul S. Sharpe at the Montreal address of Swabey Ogilvy Renault.

Respectfully submitted,



Reg. No. 39,493  
Paul S. Sharpe, Agent of Record  
Encls.

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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ ) 425**Complete if Known**

Application Number	New Application
Filing Date	New Application
First Named Inventor	BALTOIU, Len
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	9-15652-2US

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
19-5113Deposit Account Name  
Ogilvy Renault

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ ) 385</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent	10	-20** =	0	X		=	
Multiple Dependent	3	-3** =	0	X		=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$ ) 0</b>

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 40**SUBMITTED BY**

Name (Print/Type)	Paul S. Sharpe	Registration No. (Attorney/Agent)	39,493	Telephone	613 780-8676
Signature		Date	April 1, 2004		

(Complete if applicable)

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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